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PTO/SB/21 (02-04)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/676,057-Conf. #7757	
	Filing Date	October 1, 2003	
	First Named Inventor	Alexander I. Wallstein	
	Art Unit	3679	
	Examiner Name	Not Yet Assigned	
Total Number of Pages in This Submission	1	Attorney Docket Number	27855/38705B

## ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
<div>Remarks</div>		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	MARSHALL, GERSTEIN & BORUN LLP David C. Read - 39,811
Signature	
Date	May 10, 2004

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: May 10, 2004

Signature: (David C. Read)



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Dated: May 10, 2004

Signature: \_\_\_\_\_

(David C. Read)

Docket No.: 27855/38705B  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:

**Alexander I. Wallstein et al.**

Application No.: 10/676,057

Filed: October 1, 2003

Art Unit: 3679

For: WEDGE BARREL FOR  
A MINE ROOF CABLE BOLT

Examiner: Not Yet Assigned

**INFORMATION DISCLOSURE STATEMENT (IDS)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

A summary/abstract translation of the non-English language reference is enclosed.

Copies of all non-U.S. patent documents and non-U.S. published patent application documents on the PTO/SB/08 form are attached.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR

1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

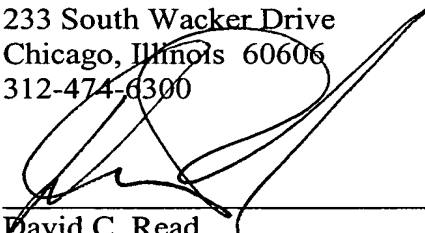
It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 13-2855, under Order No. 27855/38705B. A duplicate copy of this paper is enclosed.

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN LLP  
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By:

  
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Attorney for Applicants

May 10, 2004

Form PTO-1449 (Modified)

Atty. Docket No.

27855/38705B

Serial No.

10/676,057

**INFORMATION DISCLOSURE STATEMENT**

Applicant(s)

Alexander I. Wallstein et al.

Filing Date

October 1, 2003

Art Unit

3679

**U.S. PATENT DOCUMENTS**

Examiner Initials		Document Number	Issue or Publication Date	Name	Class	Subclass	Filing Date (If Appropriate)
	A01	2,850,937	09-09-1958	E. K. Ralston			
	A02	3,650,112	03-21-1972	Howlett et al.			
	A03	4,140,428	02-20-1979	McLain et al.			
	A04	4,449,855	05-22-1984	Langwadt			
	A05	4,648,753	03-10-1987	Stephan			
	A06	4,724,639	02-16-1988	Moser			
	A07	4,798,501	01-17-1989	Spies			
	A08	4,832,534	05-23-1989	Duvieusart			
	A09	4,884,377	12-05-1989	Matt			
	A10	5,230,589	07-27-1993	Gillespie			
	A11	5,259,703	11-09-1993	Gillespie			
	A12	5,829,922	11-03-1998	Calandra, Jr., et al.			
	A13	5,919,006	07-06-1999	Calandra, Jr., et al.			
	A14	6,056,482	05-02-2000	Calandra, Jr., et al.			
	A15	6,322,290	11-27-2001	Calandra, Jr., et al.			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials		Document Number	Publication Date	Country	Translation	
					Yes	No
	B01	198482	07-10-1958	Austria	Abstract (from corresponding EP0094636)	

EXAMINER:

DATE CONSIDERED: